

PRIVACY POLICY OF WEALTH ADVISORS (INDIA) PRIVATE LIMITED

Wealth Advisors (India) Private Limited (“WAI”) provides investment advisory, investment products distribution and portfolio management services. The purpose of this document (the “Privacy Policy”) is to inform WAI’s policies in relation to the collection, use, process and disclosure of personal information provided to us by customers who utilize our services.

Collection of Information

To fulfill your request for services, WAI may require you to provide us with certain personally identifiable information such as your name, phone number, email ID, postal address, PAN, Aadhar details, bank account details, etc. In the course of providing our services, WAI may become privy to and may collect, process and store your personal data/information, including information which is of a confidential nature, and may use the same for various purposes including but not limited to managing your investment account(s), delivering investment statements and providing other related investment services.

Use of Information

The information that we collect will be used to contact/ identify you and to provide requisite services as per the terms and conditions of the products/ services availed by you. If you choose to use our services, you agree to the collection and use of your personal information by WAI in line with this Privacy Policy.

The personal information that we collect are used for providing and improving our services. We will not use or share your information with anyone without your prior permission except as described in this Privacy Policy.

Disclosure of Information to third parties and government agencies

WAI may employ third-party entities and individuals (service providers) for the following reasons:

- To provide the services on our behalf;
- To perform service-related services;

A handwritten signature in blue ink, appearing to be 'R. Anand', with a blue checkmark to its right.



- To assist us in evaluating how our service is used.

We wish to inform you that it may be necessary to provide such third parties with access to your personal information, for performing the tasks assigned to them on our behalf. However, we limit the disclosure of information to such third parties on a need-to-know basis and such parties shall be obligated not to disclose or use the information for any other purpose.

WAI may share any personal data or information available with us to government agencies mandated under law to obtain information including sensitive personal data or information for the purpose of verification of identity or for prevention, detection, investigation including cyber incidents, prosecution and punishment of offences or disclose it to a third party pursuant to an order under the law, without your prior consent.

Reviewing personal information

You are entitled to access the data / information provided by you to us at any time. You may do so by providing us with a written request. WAI will take steps to ensure that any personal information that you find, to be incorrect or insufficient, is rectified immediately.

Reasonable security measures

WAI has taken commercially reasonable steps to ensure that security safeguards are in place to protect sensitive personal information provided by you from unauthorized access. Reasonable security practices and procedures have been implemented by WAI to protect your sensitive data / information. Your sensitive data / information are stored with duly certified infrastructure service providers and access to such data / information is restricted only to users authorized by WAI.

While we value your trust in providing us your personal information and are striving to use commercially acceptable and reasonable means of protecting your privacy and security, please note that no method of transmission over the internet, or method of electronic storage is hundred percent foolproof, secure and reliable, and we cannot guarantee its absolute security.

A handwritten signature in blue ink, appearing to be 'R. Amal', located at the bottom left of the page.

Right to withhold/withdraw information/consent

You have an option not to provide the data / information sought by us, and also to withdraw any consent provided by you earlier. However, in the event of you withdrawing your consent, WAI has the option not to provide the services for which data / information was sought.

Changes to Privacy Policy

Please note that WAI may update its Privacy Policy from time to time. In case of any such change to the Privacy Policy, we will keep you aware of the same by uploading the updated Privacy Policy on our website. Thus, we advise you to review this page periodically for any updates. The updated Privacy Policy will become effective immediately, once it is posted on our website and no separate communication will be sent to any service recipients.

Grievance Officer

For any discrepancies, grievances or withdrawal of consent, please contact our designated grievance officer:

Mr. G Sathiyapriyan
Wealth Advisors (India) Private Limited,
10, GN Chetty Road, T Nagar, Chennai 600 017.
Ph: +91.44.42152356
Email: sathya@wai.in

The grievance officer shall redress the grievances expeditiously but within one month from the date of receipt of grievance.



A Pramod Kumar
CEO